

HEARTPORT, INC.
200 Chesapeake Drive
Redwood City, CA 94063
(650) 306-7900

Heartport No. 0
Express Mail Label No.: EM473460105US
Date of Deposit: June 5, 1998

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, DC 20231

I hereby certify that this is being deposited with the
United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date
indicated below and is addressed to the Assistant
Commissioner for Patents, Washington, DC 20231,
Attn: Box Patent Application

By: *[Signature]*
Loni M. Cummings



Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the continuation patent application of co-pending application Serial No. 08/566,405 filed December 1, 1995 which is a continuation-in-part of issued U.S. Patent No. 5,478,309 issued on December 26, 1995.

Inventor(s): WILLIAM P. SWEEZER, RONALD L. COLEMAN, and WALTER L. LARKINS, III

For: **METHOD OF OCCLUDING A PATIENT'S ASCENDING AORTA AND DELIVERING
CARDIOPLEGIC FLUID**

[X] Please amend this application by adding the following before the first sentence: This application is a continuation of application Serial No. 08/566,405 filed December 1, 1995, which is a continuation-in-part of application Serial No. 08/250,721, filed May 27, 1994, now U.S. Patent No. 5,478,309.—

Application Elements:

- [X] Specification (28 pages); 49 claims on pages 22-27.
- [X] Ten (10) sheets of formal drawings.
- [X] Copy of Oath or Declaration from a prior application (37 CFR 1.63(d)) with Power of Attorney.
- [X] The prior application is assigned of record to: HEARTPORT, INC.
- [X] Copy of Power of Attorney by Assignee with Certificate Under 37 C.F.R. Section 3.73(b).
- [X] A verified statement to Establish Small Entity Status under 37 CFR §1.9 and 37 CFR §1.27 & 1.28 was filed in the prior application and small entity status is still proper and desired.
- [X] Please delete claims 1-49.
- [X] A Preliminary Amendment is enclosed.
- [X] Information Disclosure Statement under 37 C.F.R. 1.97.

The filing fee has been calculated as shown below:

	NO. FILED		NO. EXTRA	SMALL ENTITY RATE	FEES
TOTAL CLAIMS	9-20 =	-	0	x11	\$ ---
INDEP. CLAIMS	2-3 =	-	0	x41	\$ ---
BASIC FEE				\$395	\$395
TOTAL					\$395

Please charge Deposit Account No. 08-1510 the following fees:

- [X] The filing fee of \$395.00.
- [X] Any additional fees or credit any overpayment to Deposit Account No. 08-1510 during the pendency of this application.
- [X] 2 copies of this sheet are enclosed.

Respectfully submitted,

[Signature]
Jens E. Hoekendijk, Reg. No. 37,149
Attorney for Applicant

Telephone: (650) 306-7900
Facsimile: (650) 482-4287